

**SALINGER**  
**NOTARY PUBLIC**

**TERMS OF ENGAGEMENT**

**Contents**

|                                                    | <i>page</i> |                                         | <i>page</i> |
|----------------------------------------------------|-------------|-----------------------------------------|-------------|
| 1. DEFINITIONS                                     | 2           | 18. LIMITATION OF LIABILITY             | 7           |
| 2. THE CONTRACT BETWEEN US                         | 2           | 19. OTHER MATTERS                       | 8           |
| 3. COOLING OFF RIGHTS                              | 2           | 20. REGULATORY MATTERS                  | 8           |
| 4. WORK THAT IS NOT INCLUDED                       | 2           | 21. CONFLICTS                           | 9           |
| 5. INSTRUCTIONS AND AUTHORITY                      | 3           | 22. TERMINATION                         | 9           |
| 6. EVIDENCE OF IDENTITY AND OUR<br>RIGHT TO CANCEL | 3           | 23. PAPERS AND DEEDS                    | 9           |
| 7. CONFIDENTIALITY                                 | 3           | 24. EMAIL, FAX AND IT MATTERS           | 10          |
| 8. DELEGATION OF WORK                              | 3           | 25. RECOMMENDATIONS                     | 10          |
| 9. FEES                                            | 4           | 26. AUDITS & AUDIT ENQUIRIES            | 10          |
| 10. DISBURSEMENTS AND OTHER COSTS                  | 4           | 27. THIRD PARTY RIGHTS                  | 10          |
| 11. ESTIMATES AND QUOTES                           | 5           | 28. GENERAL DATA PROTECTION             | 11          |
| 12. MONIES ON ACCOUNT AND INTEREST                 | 5           |                                         |             |
| 13. CLIENT MONEY                                   | 6           | 29. REFERRALS & COMMISSIONS             | 11          |
| 14. BILLING AND PAYMENT                            | 6           | 30. EQUALITY AND DIVERSITY              | 12          |
| 15. YOUR RESPONSIBILITIES                          | 6           | 31. PROFESSIONAL INDEMNITY<br>INSURANCE | 12          |
| 16. SERVICE STANDARDS                              | 7           | 33. CHANGES TO THESE TERMS              | 12          |
| 17. COMPLAINTS                                     | 7           | 34. GENERAL                             | 12          |

## **1. DEFINITIONS**

The following definitions apply in all cases:

- “Terms” shall mean these Terms of Engagement;
- “us” or “we” or “our” or “firm” shall mean Salinger Notary Public;
- “you” or “your” shall mean our client;
- “Contract” shall mean the agreement between us and you relating to the provision of our services;
- “Client Care Letter” shall mean our letter referring these Terms to you and setting out any other special terms including the work you have asked us to do and the individuals who will handle it at our firm. Any conflict between the Client Care Letter and these Terms shall be read in favour of the Client Care Letter;
- “Disbursements” shall mean any costs or payments that we incur on your behalf in connection with providing our services, e.g. Counsels’ fees, agents’ fees, couriers’ fees, etc;
- “Estimate” shall mean a provisional estimate of our fees, which is not intended to be legally binding;
- “Quotation” shall mean a firm indication of what our costs shall be for acting for you.

## **2. THE CONTRACT BETWEEN US**

Our Client Care Letter, these Terms, and any written amendments that we agree with you shall form the Contract. This Contract will be concluded:

- When you confirm that the provisions of our Client Care Letter are agreed; or
- When you give us any specific instructions to act on your behalf, request advice from us, or after you have received our Client Care Letter and you have raised no objections to their provisions.

## **3. COOLING OFF RIGHTS**

Where we conclude the Contract in a place which is not our place of business or we conclude the Contract on our business premises through any means of distance communication immediately after you were personally and individually addressed in a place which is not our business premises, Consumer Contracts (Information, Cancellation and Additional Charges) Regulations 2013 will apply to your matter, giving you the statutory rights to terminate the Contract within a cooling off period of fourteen working days beginning with the day after the Contract was entered into.

If the Regulations apply to our Contract with you, we will send you a model cancellation form setting out your rights to cancel.

If you want us to do any work urgently, you can ask us to commence work before cancellation period expires. This means that you will have to pay for the services provided, even if the Contract is later cancelled by you.

## **4. WORK THAT IS NOT INCLUDED**

Subject to our Client Care Letter, or unless otherwise agreed to the contrary in writing, our advice shall not include advice on matters relating to:

- The laws of any jurisdiction other than England and Wales; or

- Taxes or duties (other than a straightforward Stamp Duty Land Tax); or
- Financial planning; or
- Accounting; or
- Commercial wisdom or prudence of entering into any transaction, contract or other obligation.

## **5. INSTRUCTIONS AND AUTHORITY**

If you are a company, partnership or other organisation, we may accept instructions from anyone within your organisation unless you have written to us identifying which individuals we are to take instructions from.

When our Contract is with more than one person, unless otherwise agreed in writing, we may:

- Accept instructions from any one of those persons on behalf of all; and
- Correspond with any one of those persons on behalf of all.

## **6. EVIDENCE OF IDENTITY AND OUR RIGHT TO CANCEL**

The law requires notaries to obtain satisfactory evidence of the identity of their clients, where relevant, other beneficiaries to a transaction and, in some cases, the source of funds. This is a legal requirement on notaries who deal with money and property on behalf of their clients, primarily to guard against them being used by persons wanting to launder money, which is a criminal offence. To comply with the law, we need to obtain evidence of your identity as soon as possible. Our practice is to inspect the original passports, full UK Driving Licences or proof of settled status. If you cannot provide us with the specific identification requested, please contact us as soon as possible to discuss other ways to verify your identity and/or authority to instruct us. If you cannot satisfy these requests promptly, we have the right to cancel the Contract immediately on giving written notice to you.

## **7. CONFIDENTIALITY**

We are under a professional and legal obligation to keep your affairs confidential. This obligation is however subject to a statutory exception: all UK law firms are subject to reporting, disclosure and other requirements imposed by the UK regulators or laws, such as if it concerns HM Revenue and Customs, money-laundering, the proceeds of crime and terrorist financing. These requirements can override our usual duty of confidentiality to you. In addition, these requirements may oblige us to ask you to provide us with information that may be relevant for legal or regulatory purposes at any time. Any failure by you to provide any information of this sort shall entitle us to cancel this Contract on giving immediate written notice to you.

We are required by law to make a disclosure to the National Crime Authority, where we know or suspect that a transaction may involve money laundering or terrorist financing. If we make a disclosure in relation to your matter, we are not able to tell you that a disclosure has been made and we may have to stop working on your matter for a period of time and may not be able to tell you why. Where I make such notification acting in good faith, I do not accept any liability to you or any inconvenience caused to you or for any delay or disruption caused to your matter or transaction or for any financial or other loss thereby arising.

## **8. DELEGATION OF WORK**

The individuals named in our attached Client Care Letter shall have primary responsibility for your work but may delegate appropriate parts of the work to other staff acting under proper supervision. Your work will be assigned to Pawel K. Salinger at the outset who will have overall responsibility for the work carried out for you.

If you instruct us in relation to issues that fall outside the range of work that is normally done by the named individuals in our attached Client Care Letter, we may refer you to other lawyers within the firm who can assist you, subject to your agreement. Sometimes we also ask other companies or people to do certain work on our files, such as word processing, photocopying, telephone call handling, or other work, to ensure this is done promptly and as may be required. We will always seek a confidentiality agreement with these outsourced providers and therefore seek to ensure that such providers have taken all appropriate steps to protect your confidential information. If you do not want such aspects of your file to be outsourced, please tell us as soon as possible.

## **9. FEES**

Our fees are usually based on the time we spend dealing with your matter but may also be calculated by reference to other criteria, such as the value of any property or estate involved, any skill and specialised knowledge that may have been required, and the degree of urgency.

Unless a fixed fee is quoted or a range of fees estimated the amount of time taken is generally valued at an hourly rate for the individual concerned. Time is measured in six minute units (1/10th of an hour). Our current hourly rate is £350.00 plus VAT. We may increase our hourly rates in the course of any matter on which we are working on for you and we shall notify you if this should be the case. We generally review our rates in April of each year.

Unless we agree to the contrary, any time spent by our lawyers in travelling or in waiting to attend any meetings, court hearings, etc shall be calculated with reference to the hourly rates of the lawyers in question.

We will charge you an administrative charge equal to 5% of professional fees for any invoice we issue. The administration charge will cover printing and internal copying costs, costs of phone calls, faxes and other incidental administration expenses.

Our fees are subject to Value Added Tax (VAT) where applicable. You will provide us with all relevant information in this respect. If your information proves to be incorrect, you shall reimburse us on demand for any interest, penalties or legal costs which we incur as a result.

Our fees are payable irrespective of whether a matter proceeds to completion. Once we have sent you a bill of costs we shall be entitled to pay our fees out of any sums that we receive or hold on your behalf, such as the proceeds of a sale of property.

We will keep you updated about fees as the matter progresses, in particular, we will tell you how much the fees are at regular intervals. We will explain to you any changed circumstances which will or are likely to affect the amount of costs, the degree of risk involved and the cost-benefit to you of continuing with your matter.

We will inform you as soon as it appears that a cost estimate or agreed limit may be exceeded.

## **10. DISBURSEMENTS AND OTHER COSTS**

In addition to our fees, you shall also pay to us, with VAT if applicable:

- All disbursements we make or incur on your behalf;
- The costs of scanning and e-faxing of documents;
- The costs of special delivery, international post or similar postal service
- The cost of any foreign telephone calls that we make on your behalf;
- The cost of all travel and accommodation reasonably incurred by us.

We may require you at any time either to pay us sums in advance (a payment on account) of any disbursements or costs that we may have to incur, or to make any payments of this sort yourself direct to the provider in question.

We are professionally bound to pay barrister's fees if and when a barrister has been instructed on your behalf. We will obtain your prior agreement to the instruction of a barrister or any other third parties and we will ask you to make payments in advance to us to meet fees associated with their involvement.

## **11. ESTIMATES AND QUOTES**

Estimates and Quotes are generally based upon your initial description of the matter in question and upon any documentation that you might have given us to consider. Such information may not be sufficient to give an Estimate or Quote, particularly if documentation needs to be prepared or negotiated, or if any complicated legal points are involved.

Where an Estimate or Quote is given, it must be in writing to be of any effect. Such effect will in any event be limited in that sometimes a matter is more complicated than we could reasonably have expected from your description or from a preliminary review of that documentation, or unforeseen issues arise as a matter progresses which have a bearing on the amount of time which we need to spend, or upon any disbursements or other costs which need to be incurred. We shall advise you of any such changes in circumstance, as these matters will fall outside the scope of any Estimate or Quote which we have given. We shall seek to agree with you an additional fee for such matters, but if no agreement is made, we shall have the right to cancel this Contract on giving written notice to you.

If it is not possible to calculate our charges with reference to a Quote we have given, they shall be determined with reference to our hourly rates.

Unless we specifically advise to the contrary, all Estimates and Quotes are exclusive of VAT, Disbursements and other costs.

## **12. MONIES ON ACCOUNT AND INTEREST**

We reserve the right to require you to pay one or more sums on account of our fees and/or any likely Disbursements or costs at any time before and/or during the course of the work. Any sums we ask you to pay on account may include an element to reflect any VAT that may be chargeable. These sums will be held in your name in our client account accruing interest. Interest will be calculated and paid to you at the rate set by Barclays Bank plc. That of course may change. The period for which interest will be paid normally runs from the date(s) when funds are received by us until the date(s) on which the cheque(s) is/are issued to you. Our charges do not allow for the costs of administering the calculation and payment to clients of small amounts interest. Accordingly we will pay you a sum in lieu of interest (on your money) if "interest" in relation to any matter exceeds £100 in any period of one year ending 5<sup>th</sup> April. The "interest" paid will reflect the rate of interest that might reasonably be obtainable on the amount in question for the period in question if placed with our bank at the time by a member of the business community. Payments in lieu of interest will be made within a reasonable time and in any event not later than 6 months after the end of the year to which they relate.

If we pay sums in lieu of interest on a clients account without deduction of tax, you will be responsible for declaring and paying any tax due.

If at anytime you feel that these arrangements for interest are not appropriate in your particular case, or have worked unfairly in the circumstances or were not properly understood by you, please let us and we will be happy to consider revised arrangements for the future and retrospectively.

From these sums, we shall be entitled to settle our invoices for fees, disbursements or costs after we have advised you of the fees, disbursements and costs in question. If it transpires that our invoiced amounts at the end of a or matter are less than the sums that we are holding on account, we shall refund the balance to you.

### **13. CLIENT MONEY**

We will hold any funds which you remit to us to be held on your behalf in our client account(s). We will only hold your money at a bank or building society where the monies are held at a branch (or head) office in England and Wales.

We currently have our client account at Barclays Bank plc. We may subsequently open further client accounts at different banks and/or transfer client funds from time to time from one account to another. Whilst we monitor circumstances relating to our bankers and take such action we feel is necessary to protect our finances, we may not be liable to repay money lost through a banking failure. If you are acting in the capacity of a private individual or small business, you may be eligible to obtain compensation from the Financial Services Compensation Fund (FSCF) up to a maximum of £85,000 per person per authorised institution in the event of the bank failing. The compensation limit applies to one individual per failed entity, and so if you hold personal monies with the same bank (or member of a group to which it belongs), the limit remains at £85,000. If at any time you wish your funds to be held in or transferred to a specific account or in any particular bank (including banks abroad) or in any other way you should advise us as soon as possible and confirm any such instruction in writing. We undertake no responsibility to advise you where or how your funds should be held or transferred and what exchange rates your chosen bank may use whilst crediting any such funds.

### **14. BILLING AND PAYMENT**

Subject to any special terms in our attached Client Care Letter, billing frequency is at our discretion depending on such criteria as the nature of the matters on which we have been asked to act, the amount of our unbilled fees and the amount of time that is being spent on your matters. We generally invoice our clients on completion of the transaction, unless the transaction is being delayed in which case we invoice our clients periodically. Our invoices are payable in full upon receipt.

If any of our invoices are not paid within 14 days of their delivery to you:

- We may charge you interest on the outstanding amounts at the rate of 4% above the base rate of Barclays Bank plc; and
- We have the right to suspend work on any matters on which you have asked us to act, or to cancel all or any of our Contracts with you on giving you immediate written notice; and
- Where we are acting for you in a matter before a Court or Tribunal we have the right to apply to that Court or Tribunal to be taken off the record as your lawyers in relation to any legal matter in which we are representing you on giving you 7 days written notice.

Where our attached Client Care Letter is addressed to more than one person, or where we have agreed with the addressee of our Client Care Letter to act for another person as well, each of you shall be jointly and severally liable for our fees and disbursements and other costs, so that each of you is jointly responsible for ensuring that our bill is paid, and we can pursue all or any one of you for the whole amount that is due to us. This shall be the case regardless of any agreement you may have entered into with anyone else regarding the payment of our fees, disbursements, and other costs.

Our bills can be paid by cheque or bank transfer. Our general policy is not to accept cash from clients. However we will accept cash up to £500 only. If you try to avoid this policy by depositing cash directly with our bank, we may decide to charge you for any additional checks we decide are necessary to prove the source of the funds as per clause 6 above. Where we have to pay money to you, it will be paid by cheque or bank transfer. It will not be paid in cash or to a third party unless we are satisfied payment to a third party does not contravene any anti-money laundering laws or regulations.

### **15. YOUR RESPONSIBILITIES**

In order to carry out our services in a prompt, effective and professional manner, we shall require your full co-operation and assistance throughout the duration of our Contract with you. This means that we expect to receive clear, timely and accurate instructions from you and to be provided with documents promptly upon request. In litigation matters you are required by the Court Rules (the Civil Procedure Rules) to safeguard any documents which are likely to be required for disclosure and we will give you further guidance on the types of documents which you are obliged to disclose, as necessary.

## **16. SERVICE STANDARDS**

We will explain to you the legal work required as your matter progresses and provide you with updates, such as the likely timescales, costs and whether the costs still justify the risks.

We are happy to receive queries by telephone, email, fax or letter. The person with responsibility for your matter can be reached by telephone, fax and/or email, as well as by letter. If he or she is unavailable to take a call, he or she will attempt to return your call the same day but if that is not possible, then your call will be returned within 2 working days. Written correspondence (which includes letters, emails, and faxes) will generally be replied to within 3 working days unless further investigation and/or inability to contact others prevents this. If your correspondence is marked urgent or a specific reply date is requested, we will do our best to prioritise it accordingly.

Our normal office hours are 9.30 am to 1.00 pm and 2.00 pm to 5.30 pm Monday to Friday (except public holidays) although, occasionally, appointments may be made outside those hours as circumstances dictate. It is generally not possible to see people arriving without appointments so please contact us should you wish to see the person with responsibility for your matter in person in order to make an appointment.

## **17. COMPLAINTS**

We are committed to providing high quality legal advice and client care. However, if at any point you become unhappy with the service we provide to you, please inform us immediately so that we can do our best to resolve the problem for you.

If you are dissatisfied about the service you have received please do not hesitate to contact me, Pawel K. Salinger.

If we are unable to resolve the matter you may then complain to the Notaries Society of which Pawel K. Salinger is a member, who have a Complaints Procedure which is approved by the Faculty Office. This procedure is free to use and is designed to provide a quick resolution to any dispute.

In that case please write (but do not enclose any original documents) with full details of your complaint to :-

The Secretary of The Notaries Society  
P O Box 876  
Chichester PO19 9ZH

Email: [secretary@thenotariessociety.org.uk](mailto:secretary@thenotariessociety.org.uk)

If you have any difficulty in making a complaint in writing please do not hesitate to contact the Notaries Society/the Faculty Office for assistance.

Finally, even if you have your complaint considered under the Notaries Society Approved Complaints Procedure, you may at the end of that procedure, or after a period of 8 weeks from the date you first notified us that you were dissatisfied, make your complaint to the Legal Ombudsman, if you are not happy with the result :

Legal Ombudsman

P O Box 6167  
Slough SL1 0EH  
Tel : 0300 555 0333

Email : [enquiries@legalombudsman.org.uk](mailto:enquiries@legalombudsman.org.uk)  
Website : [www.legalombudsman.org.uk](http://www.legalombudsman.org.uk)

If you decide to make a complaint to the Legal Ombudsman, you must refer your matter to the Legal Ombudsman within one year from the act/omission or within one year from when you should reasonably have known there was cause for complaint.

Our invoices contain a brief summary of the work that we have undertaken for you and the disbursements and costs that have been paid out on your behalf in relation to the matters on which you have asked us to act. A more detailed description can be provided if needed. If you are not satisfied with the amount of our fees, you may be entitled to object to the bill by making a complaint to the Legal Ombudsman.

## **18. LIMITATION OF LIABILITY**

As notaries we are permitted to put a reasonable limit on our liability to our clients provided that:

- The limit on our liability is not below the minimum level of cover required by the Indemnity Rules (currently £2,000,000); and
- We can only limit our liability to the extent that the law allows. In particular, we do not limit our liability for death or personal injury resulting from our negligence.

Our liability to you shall therefore be limited as follows:

- Irrespective of the legal grounds on which any claim against us is made, unless we expressly state a higher amount in our Client Care Letter accompanying these Terms, our liability and loss to you (including any liability for negligence other than for death or personal injury) shall be subject to an aggregate limit of £2,000,000 for all claims and losses resulting from:
  - one act error or omission;
  - one series of related acts or omissions;
  - the same act or omission in a series of related matters or transactions;
  - similar acts or omissions in a series of related matters or transactions.
- For the purposes of this clause, a claim against the principal, (and if any) any one or more of his partners, any other members of our staff (whether employees or not) and any company or its employees handling outsourced work as per clause 8 above, arising from one matter or transaction, shall be regarded as a single claim against us and our liability to you shall be limited accordingly.
- We will not be liable for any consequential, special, indirect or exemplary damages, costs or losses or any damages, costs or losses attributable to lost profits or opportunities.

## **19. OTHER MATTERS**

We shall not be liable to you for any failure to provide our services caused by matters beyond our reasonable control.

## **20. REGULATORY MATTERS**

This notarial practice is regulated through the Faculty Office of the Archbishop of Canterbury:

The Faculty Office  
1, The Sanctuary  
Westminster  
London SW1P 3JT  
Telephone 020 7222 5381  
Email: [Faculty.office@1thesanctuary.com](mailto:Faculty.office@1thesanctuary.com)  
Website: [www.facultyoffice.org.uk](http://www.facultyoffice.org.uk)

We are not authorised by the Financial Conduct Authority. If, while we are acting for you, you need advice on investments, we may have to refer you to someone who is authorised to provide the necessary advice. However, we may provide certain limited investment advice services where these are closely linked to the legal work we are doing for you.

The Firm has effected professional indemnity insurance cover which meets or exceeds the requirements of the Faculty Office. In the event of any failure by the Firm to meet its liabilities, apart from such insurance, the Notaries' Guarantee Fund is in place, from which grants may be given to those who have suffered loss by reason of the dishonesty of a notary or an employee in connection with a notary's practice or in connection with a trust of which the notary is a trustee.

## **21. CONFLICTS**

We have the following rights to cancel this Contract on giving immediate written notice:

- If our own interests conflict with yours; or
- If a conflict of interests arises between you and any of our other clients in relation to the same or related matters, or there is a significant risk that this might happen; or
- If any instructions you give us conflict with our professional duties or obligations as solicitors.

## **22. TERMINATION**

You may immediately terminate the Contract in writing at any time if you wish us to stop acting for you. We may also cancel the Contract:

- If we have good reason to do so on giving you reasonable written notice; or
- If we believe there are circumstances that justify an immediate cessation of the work that we are doing for you; or
- In the circumstances provided for in other clauses of these Terms.

Circumstances that might justify our ceasing to act for you under the first two bullet points above would include a non-payment of any of our invoices, your failure to make any payment on account or to settle any Disbursements or costs which we have requested, or your failure to give us the instructions that we might reasonably expect in relation to your matter(s).

In the event that we cancel the Contract and cease acting for you, we shall be entitled to charge you a fee for all the time spent by us up to cancellation, and all the Disbursements and costs we have incurred or may be liable for up to that point in time. If it is not possible to calculate our fee with reference to a Quotation that we have given, our fee shall be calculated on the basis of our hourly rates.

## **23. PAPERS AND DEEDS**

We are entitled to retain our files and any documents we are holding on your behalf until you have paid all our invoices (a lien). Unless you have already asked us to return any papers to you, we shall keep all files relating to your completed matters in storage for not less than 6 years, either in their original form or on some other retrievable medium. After the end of that period, those files will be destroyed, although this shall not apply to any original documents that you have specifically asked us in writing to keep in safe custody for you.

We do not normally make a charge for retrieving stored papers which relate to current matters, although we reserve the right to charge you for any time spent in retrieving papers relating to completed matters and for any time spent in reading the file, writing letters, or doing any other work at your request.

If you ask us to send any documents to anyone else, we shall not be liable for any loss or damage that occurs to those documents after they leave our possession. You should also note that, unless we believe it might be appropriate to do so we will not ordinarily make copies of any lengthy or bulky documentation which we send to anyone else, unless you specifically ask us to do so, and pay our copying charges.

We retain the copyright in all documents prepared by us. No liability is accepted for the consequences of any amendments made by you or a third party to any document prepared by this firm, or use made of any document save for the express purpose and transaction for which specifically it was prepared and provided by us.

## **24. E-MAIL, E-FAX AND INFORMATION TECHNOLOGY (IT) MATTERS**

Unless otherwise agreed, we may use conventional (unencrypted) email to communicate with you and anyone else that is involved in any matter on which you instruct us. You acknowledge that conventional email may present security risks in certain circumstances and you shall be taken to have accepted those risks unless you tell us not to use that means of communication.

If you would like us to use encrypted email for communication purposes you should notify us in writing. We will endeavour to do so, but this shall also be subject to us making the necessary arrangements with you and any other recipients.

If you do not want us to e- fax you at any fax number where we might ordinarily think you may be contactable, you must inform us of this in writing and provide us with any fax number(s) you wish us to use.

Please note that in order to protect the integrity and security of our IT systems, we may prohibit the receipt and opening of certain types of electronic files by our staff and you should note our internal IT procedures may also impose a delay on our ability to open and deal with certain types of electronic files.

Please also note that we may record and monitor telephone, fax and email communications that are made to or from our offices and staff for the purposes of the Telecommunications (Lawful Business Practice) (Interception of Communications) Regulations 2000.

## **25. RECOMMENDATIONS**

If we should recommend the services of anyone to you such as accountants, surveyors, trade mark and patent agents, foreign lawyers, or anyone else, we shall do so in good faith and this shall be the sole extent of our liability with regard to the recommendation in question.

## **26. AUDITS AND AUDIT ENQUIRIES**

If we receive requests for information of an auditing nature from you, your accountants or auditors, we may address our response to you and we may charge you for the time spent in addressing these enquiries at our normal hourly rates.

External firms or organisations may conduct audit or quality checks on our practice to ensure compliance with mandatory requirements such as the regulatory standards and/or voluntary standards such as Lexcel or ISO 9001. Such external firms or organisations are required to maintain confidentiality in relation to your files. We operate our own in-house file review process to ensure our own quality standards are adhered to and personnel involved in the review process are bound by the firm's confidentiality obligations.

## **27. THIRD PARTY RIGHTS**

Unless we specifically agree to the contrary in writing, we shall act only on your behalf in relation to the work that we do for you and the Contracts (Rights of Third Parties) Act 1999 shall not apply.

Any legal advice that we give you is for your own use only and we shall not be liable to anyone else in relation to that advice (including anyone that you pass or transmit it to) unless we expressly agree to be liable to the recipient(s) in writing.

## **28. THE GENERAL DATA PROTECTION REGULATION**

Our Privacy Notice can be found on this website.

## **29. REFERRALS AND COMMISSIONS**

If you have been referred to us by an introducer with whom we have a financial arrangement:

- We shall not disclose your information to that introducer unless you consent;
- We shall make clear the amounts involved in your client care letter;
- If we also act for the introducer in the same matter and a conflict of interest arises, we may have to cease acting for you;
- Any advice we give will be independent and you can raise questions on all aspects of the matter.

We are prevented by law and regulations from making a secret profit from our relationship with you. If any occasion arises where there is potential for us to earn a commission or financial benefit (such as a discount or rebate), for instance if we introduce you to another practice to undertake work for you which we cannot do ourselves, we will establish a separate written agreement with you to deal with the acceptance and allocation of any such commission or financial benefit arising.

## **31. EQUALITY AND DIVERSITY**

We are committed to encouraging and promoting equality and diversity in all of our dealings with clients, third parties and employees. Please contact us if you would like a copy of our equality and diversity policy.

## **32. PROFESSIONAL INDEMNITY INSURANCE**

The details of our Professional Indemnity Insurance and the territorial coverage of the policy are available in our office and are available upon request.

## **33. CHANGES TO THESE TERMS OF ENGAGEMENT**

We reserve the right to make changes to these terms of business to reflect new legislation, practice and procedures, or changes in market conditions. If any changes become necessary then we will notify you with details in writing wherever practicable before the change takes effect.

#### **34. GENERAL**

Any dispute or legal issue arising from our Terms of Engagement will be determined by the law of England and Wales, and subject to the exclusive jurisdiction of the English and Welsh courts, notwithstanding that you may be based, or our services may be provided to you, elsewhere.

Revised November 2025

Please sign the agreement below. Please return the signed copy to us and keep a signed copy for your own records.

**AGREEMENT**

I confirm that I have read and understood the terms and conditions set out in these Terms of Engagement.

I confirm that I agree and accept these terms and conditions.

Signed: ..... ..

Name: ..... ..

Dated: ..... ..